PLENARY SESSION

HAS consultants assessment

Alexandre BELTRÃO
Account Manager - Digital Production

Thierry LEGRAND
Customer Service Specialist - Mobility
Working with the HAS Consultant framework
Relations for a joint assessment

- HAS Contractor
- Consultants

ISO - IEC

CEN
CLC

Technical Bodies

Common understanding

Organisational Operational

Contractual arrangement

EC

HAS Contractor

Consultants

Working relationship Assessments, meetings

Steering group involving sectors

T. LEGRAND – A. BELTRAO
CEN and CENELEC Workshop – 2018-07-12
Relations for a joint assessment

HAS CONTRACTOR = Ernst & Young (EY)

The formal contact point towards EC and ESO’s, with 3 main tasks:

- **Administrative & logistical tasks** to coordinate the tasks and work of the consultants, including recruitment
- **Horizontal verifications and checks** of non-technical nature with regard to documents to be assessed by the consultants
- **Evaluation of the assessment results** and the effectiveness of the assessment concept in order to make recommendations to the Commission

The Contractor will be managing the pool of harmonized standards consultants 'HAS Consultants'
Relations for a joint assessment

HAS CONSULTANT

The independent experts selected, hired and managed by the HAS Contractor to perform the following main tasks:

• 'Compliance assessment' of the documents drafted by the ESO’s

• 'Communication' to the technical bodies of the ESO’s to give advice in order to contribute compliance of the drafted documents with the EC's standardization requests

→ MEETINGS
Interaction with the standards development

Assessments

• the Work Item (WI) needs to be:
  • linked to the relevant legislation and standardization request
  • In the Technical Body Work Programme
  • Reflected as such in the database

AND

• registered in an EC approved Work Programme for the Standardization Request (where relevant)

→ Ensure Technical Body Work Programme is up-to-date!
Interaction with the standards development

indicative flowchart taken from EC Tender
→ contractual relation EC/EY, subject to intermediate evaluation by EC
Interaction with the standards development

Assessments

• When?
  • at Drafting stage
  • at Enquiry stage
  • at Formal Vote stage
  • on documents that have already been voted upon.

• Duration:
  • normally 5 weeks after notification to HAS CONTRACTOR (EY)
**Assessments**

**Outcome**

| 'Compliant' | The publication of the references in the OJ is normally possible without any specific notice |
| 'Partial compliance' | The publication of the references in the OJ could be possible with a notice; such a notice shall be outlined in the report for already adopted standards and for documents assessed before formal vote |
| 'Lack of compliance' | The publication in the OJ is likely not possible before the document is revised |
Assessments

• REVIEW (challenge)
  • on justified disagreement of the Technical Body
  • as specific as possible
  • related to the work item
  • can relate to (examples)
    • Interpretations of guidance that have not been agreed upon;
    • debatable interpretations Essential Requirements (ER) and their consequences for particular products covered in the respective standard
    • the consultants interfering in the drafting and consensus building processes of the ESO’s or providing scientific, legal or technical comments which would go beyond normal assessment tasks;
    • deviation from sector specific arrangements that have been validated with the EC.

  decision to request a review of an assessment must be supported by a consultation of CCMC, relevant sector fora, etc

• Duration:
  • normally 3 weeks after notification to HAS CONTRACTOR (EY)

• Who and How
  • Technical Body Secretary to request a review to the CCMC Project Manager
Interaction with the standards development

Assessments

- WHERE TO FIND
  - LIVELINK ‘HAS PLATFORM’

SET YOUR NOTIFICATIONS!
Assessments: DRAFTING STAGE (indicative)

- CEN or CENELEC ‘Working Draft – 20.60’
  (Parallel work: equivalent stages)
- TC Secretary to submit to CCMC the Working Draft
  - According to process CEN BOSS or CENELEC BOSS
  - Transmission notice to indicate request for assessment
  - Foresee the specific (European) elements
    - First draft Annex ZA / ZZ or indication of intended coverage of E.R.
    - For parallel work: CD-text
    - When available:
      Justification of Normative refs, risk assessment, ...
- As per reception of Assessment report:
  - available to Technical Body on LIVELINK
Interaction with the standards development

Assessments: DRAFTING STAGE (indicative)

Dealing with the outcome

• consider the outcome of the assessment and comments

• challenge of the assessment (review)
  • exceptional at this stage
  • does not stop development

→ Possibly call ‘meeting’
Interaction with the standards development

Assessments: ENQUIRY stage

(Parallel work: ISO/IEC approval to go to DIS)

• TC Secretary to submit ENQUIRY TEXTS according to process in CEN BOSS or CENELEC BOSS

  *note:* // procedure will run automatically but needs to be completed!

  • events will automatically trigger assessment
    → correct identification in work programme!
  • Ensure specific (European) elements are included
  • Annex ZA /ZZ indicating coverage of E.R.
  • CENELEC FA: Annex ZA
  • Transmission notice to mention relevant documentation

  *Note:* Possibly complementing the // procedure

  • Justification of Normative refs, risk assessment, ...
  • Normative references relevant for intended ‘Presumption of conformity’

• As per reception of Assessment report:

  • available to Technical Body on LIVELINK
Assessments: ENQUIRY stage
Dealing with the outcome

• Assessment result ‘compliant’
  • if all other criteria are met: possibility to skip FV
Assessments: ENQUIRY stage

Dealing with the outcome

• Assessment result ‘partial compliance’ – ‘lack of compliance’
  • Launch of Enquiry is not delayed
  • NEW!! CRM → organized as empowered prepublication TC meeting
  • To be integrated in CEN BOSS or CENELEC BOSS
    • Systematically plan ahead - max. 16 weeks after closure Enquiry
    • Involving the HAS Contractor/Consultant and EC Desk Officer*
  • Aim = resolve issues & jointly take difficult decisions in a transparent way
    • without technical changes → possibility to skip FV (all other criteria met)
    • with technical changes → prepare final draft for FV with more assurance
  • Issues not resolved?
    • Decide on the future of the project, e.g. proceeding as a non-harmonized standard.
    • BT shall be consulted to endorse the decision.

*For exceptional & very litigious cases
Assessments: Formal Vote stage

Homegrown - prior to Formal Vote!

ISO or IEC // - trigger approval to go to FDIS

- TC Secretary to submit FV TEXTS according to process in CEN BOSS or CENELEC BOSS
  *note*: // procedure will run automatically but needs to be completed!
  - events will automatically trigger assessment
    → correct identification in work programme!
  - Ensure specific (European) elements are included (also in ISO text)
  - Annex ZA/ZZ indicating coverage of E.R.
  - CENELEC FA: Annex ZA; consider Common Modifications
  - Transmission notice to mention relevant documentation + A11
    *Note*: Possibly complementing the // procedure
    - Justification of Normative refs, risk assessment, ...
    - Normative references relevant for intended ‘Presumption of conformity’

- As per reception of Assessment report:
  - available to Technical Body on LIVELINK
 Interaction with the standards development

Assessments : Formal Vote stage

HOMEGROWN

Dealing with the outcome

• Assessment result ‘**partial compliance**’ – ‘**lack of compliance**’
  • **NEW** Start of vote suspended for max 12 weeks in order to:
    • to resolve any issues and timely inform CCMC should any changes to the text be necessary
    • decide on challenge of assessment or not
    • Inform/consult BT
  • If within 7 weeks after original assessment no news from the Technical Body → relevant BT Member will be triggered for a proposal to BT within 1 week

  ➔ Immediately inform TC Secretariat and the BT Member of the NSB holding secretariat

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Assessments : Formal Vote stage

Dealing with the outcome. Assessment result ‘partial compliance’ – ‘lack of compliance’

➔ Immediately inform TC Secretariat and the BT Permanent Delegate of the NC holding secretariat
  • No delay of Formal Vote on international text (not modified)
  • Start of vote on common mods suspended for max 12 weeks in order to:
    • to resolve any issues and timely inform CCMC should any changes to the text be necessary
    • decide on challenge of assessment or not
    • Inform/consult BT

If within 7 weeks after original assessment no news from the Technical Body, relevant BT Member/Permanent Delegate will be triggered for a proposal to BT within 1 week
Assessments: after Formal Vote (exceptional process)

- Technical Body Secretary to send request to CCMC
- Challenge possible (review of assessment)

- EN already made available
  - Technical modifications necessary? → TB to consider appropriate actions, consult BT
- EN not yet made available
  - Immediately inform BT of need to suspend the process
  - ‘ratification’ or ‘making available’ suspended for max. 17 weeks
Interaction with the standards development

MEETINGS

• Who & How to Request?
  • European Technical body Secretary (TC level)
  • dedicated mail address: has.support@be.ey.com
  • Template provided by EY

• Which meetings?
  • Technical body meetings (TC or WG)
  • European, where relevant: ISO/IEC
  • Related to ‘assessment’ and/or ‘Communication’ → advice to contribute to compliance on WI

• Preference for remote participation

• Request to plan meeting schedule accordingly

• Sector meetings: check with CCMC
Interaction with the standards development

**MEETINGS**

- Template (will be on BOSS)

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**TEMPLATE FOR REQUESTING MEETING ATTENDANCE BY HAS CONSULTANTS**

<table>
<thead>
<tr>
<th>Section 1: Requestor details</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. European Standardisation Organisation</td>
</tr>
<tr>
<td>2. Technical Committee (TC) or Working Group (WG) represented</td>
</tr>
<tr>
<td>3. Name of the person issuing the request (<em>requestor</em>)</td>
</tr>
<tr>
<td>4. Requestor's position in the TC and/or WG</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Section 2: Requested HAS Consultant(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>HAS Consultant 1</td>
</tr>
<tr>
<td>Surname:</td>
</tr>
<tr>
<td>Directive:</td>
</tr>
<tr>
<td>Mandate:</td>
</tr>
</tbody>
</table>

| HAS Consultant 2 |
| Surname: |
| Directive: |
| Mandate: |

| HAS Consultant 3 |
| Surname: |
| Directive: |
| Mandate: |

<table>
<thead>
<tr>
<th>Section 3: Meeting details</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Period (Start and end dates of the full meeting (dd/mm/yy - dd/mm/yy))</td>
</tr>
<tr>
<td>2. Location</td>
</tr>
<tr>
<td>3. Date(s) for which HAS attendance is requested (dd/mm/yy - dd/mm/yy)</td>
</tr>
<tr>
<td>4. Total number of man-days requested</td>
</tr>
</tbody>
</table>

**Meeting details: planning per day**

<table>
<thead>
<tr>
<th>Day 1</th>
<th>Day 2</th>
<th>Day 3</th>
<th>Day 4</th>
<th>Day 5</th>
</tr>
</thead>
</table>

**5.1 Virtual attendance (teleconference) or face-to-face?**

**5.2 Scope of the meeting (please provide work item numbers and names):**

- a. Clarification of previous finalised assessments
- b. Clarification of on-going work items
- c. Other communication

**5.3 TC and/or WG involved**

**5.4 Chairperson of the TC and/or WG**

**5.5 Name and number of the different Work items to be discussed (if applicable)**

**5.6 Additional details (especially for “other communication”)**
Interaction with the standards development

Areas covered (2018-05-25)

- Personal Protective Equipment
- Construction Products
- Recreational Craft
- LVD
- Railways
- Radio Equipment
- EMC
- ATEX
- Pressure Equipment & Simple Pressure Vessels
- Toys
- Cableways
- Gas Appliances
- Medical Devices,
- Pyrotechnics &
- Explosives
- Metrology
- Machinery (incl. lifts & pesticide equipment)

Still vacant

- Ecodesign, Transport Dangerous Goods

no HAS Consultant: Packaging and Packaging waste (for the moment)
### Interaction with the standards development

#### STATUS (2018-05-25)

<table>
<thead>
<tr>
<th>People &amp; tasks</th>
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</tr>
</thead>
<tbody>
<tr>
<td>Wilhelm Beckermann</td>
<td>ATEX</td>
</tr>
<tr>
<td>Ian Turner</td>
<td>Metrology</td>
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<tr>
<td>Krzysztofik</td>
<td>ATEX</td>
</tr>
<tr>
<td>Alexandre Popa</td>
<td>PED &amp; SPVD</td>
</tr>
<tr>
<td>Xavier Lefebvre</td>
<td>ATEX</td>
</tr>
<tr>
<td>José Bahima Toha</td>
<td>Personal Protective Equipment (PPE)</td>
</tr>
<tr>
<td>Ian Fraser</td>
<td>Cableways</td>
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<tr>
<td>Colin Pimley</td>
<td>PPE</td>
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<tr>
<td>Giancarlo Bedotti</td>
<td>Construction</td>
</tr>
<tr>
<td>Adam Tomasz Poscik</td>
<td>PPE</td>
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<tr>
<td>Franci Ceklin</td>
<td>Construction</td>
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<tr>
<td>John Coates</td>
<td>Pyrotechnics, Explosives</td>
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<td>Vaclav Hadrava</td>
<td>Construction</td>
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<td>Patrick Lallet</td>
<td>Railway</td>
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<tr>
<td>Salazar</td>
<td>Construction</td>
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<td>Franco Cavaliere</td>
<td>Railway Inteoperability</td>
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<td>Jürgen Stenger</td>
<td>Gas Appliances (GAR)</td>
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<tr>
<td>Colin Pimley</td>
<td>Railway Inteoperability</td>
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<td>Ivan Hendrikx</td>
<td>LVD</td>
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<tr>
<td>Frederic Coudert</td>
<td>Railway Inteoperability</td>
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<tr>
<td>Christian Maes</td>
<td>LVD</td>
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<tr>
<td>Bernard Dumas</td>
<td>Railway Inteoperability</td>
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<tr>
<td>Jan Roed</td>
<td>LVD, Toys</td>
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<tr>
<td>Adam Tomasz Poscik</td>
<td>Railway Inteoperability</td>
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<tr>
<td>Emilio Borzelli</td>
<td>Machinery</td>
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<tr>
<td>Paolo Umiliacchi</td>
<td>Railway Inteoperability</td>
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<tr>
<td>Tony Hoyland</td>
<td>Machinery</td>
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<tr>
<td>Stuart Carruthers</td>
<td>Recreational Craft</td>
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<tr>
<td>Jean Jacques</td>
<td>Machinery</td>
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<tr>
<td>Klaus Röder</td>
<td>Recreational Craft</td>
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<tr>
<td>Patrick Kurtz</td>
<td>Machinery</td>
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<tr>
<td>Gerrit Gremmen</td>
<td>RED, EMCD</td>
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<tr>
<td>Michiel Van Eeden</td>
<td>Machinery</td>
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<tr>
<td>Sven Lundbech</td>
<td>RED, EMCD</td>
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<tr>
<td>Raimonda Sneigiene</td>
<td>Machinery - Cableways</td>
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<tr>
<td>Ronald Storrs</td>
<td>RED, EMCD</td>
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<td>John Cotman</td>
<td>Machinery, LVD</td>
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<td>Aage Hillersborg</td>
<td>Toys</td>
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<tr>
<td>Antony Wilkes</td>
<td>Medical Devices</td>
</tr>
<tr>
<td>Alexander Rankin</td>
<td>Toys</td>
</tr>
</tbody>
</table>

**NEW ASSIGNMENT**
Interaction with the standards development

Strictly within the remit of **EY**:

- Selection and management of Consultants
- Work organisation
Interaction with the standards development

- TC Secretariat/Secretary/Reporting Secretariats
  - more active role in parallel work
    - earlier follow up
    - work programme
    - confirmation of WI on Sreq work programme
    - activating European Experts
    - European elements (Annexes ZA)

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Interaction with the standards development

- TC Secretariat/Secretary/Reporting Secretariats
  - New elements to be provided:
    - 'Risk Analysis' & other justifications
    - Normative references
  - Specific problems
    classes/thresholds, performance criteria, 80-80 rules, horizontal issues,
  - assessment results, CRM/Empowered prepublication TC meeting/Last Call Meeting

→ Implementation of Vienna Agreements
1) MANAGE THE WORK PROGRAMME
WI not in Work programme of SReq, no Annex ZA → no assessment!

2) Start early with development of Annexes ZA, risk assessment, normative references

3) Assessments at drafting stage, before Enquiry, before FV, on voted standard

4) NEW: Empowered Prepublication TC Meeting & Last Call Meeting

5) CCMC and BT Members are there to help!
Thank You
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